

b) Corporate Investor (including Corporate Trustee), Trust (Individual Trustee(s)), Self Managed Superannuation Fund, Incorporated/Unincorporated Club/Body or Deceased Estate

Name of Entity/Company/
Corporate Trustee

Name of Trust

Name of Contact Person

Title (Dr/Mr/Mrs/Ms/Miss) Surname

Given name(s)

Date of birth / /

Residential address

Suburb State Postcode

Mailing address (if different from above)

Suburb State Postcode

Phone (bh) Phone (ah)

Phone (mobile) Fax

Email

Step 2: Investor advice fees

Important notes:

- Asset-based fees cannot be charged where geared or borrowed funds have been used to invest. This means a percentage-based investor advice fee cannot be charged where you have borrowed funds through a margin lending facility. This restriction also applies where any other borrowed funds have been used to invest, in any form.
- The investor advice fee(s) paid to your financial adviser and their Licensee (and quoted in this section) are inclusive of GST. The actual amount deducted from your account may be less than the amount quoted. This is because IOOF Pursuit Investment Service (the Service) may be able to claim a reduced input tax credit (RITC) on some of these fees. Where the Service is able to claim an RITC the benefit is passed on to you which effectively reduces the fee. Note that the RITC rules are subject to change and this may impact the RITC available in the future.

(1) Investor Advice Fee – Upfront

You can agree to nominate a different Investor Advice Fee – Upfront for each of the following types of deposits:

Up to a maximum of 5.5% (inclusive of GST) of each deposit.

Initial or additional (one-off) deposits received via cheque % (inclusive of GST)

Deposits received via Direct Debit Request (one-off and Regular Savings Plan) and BPAY % (inclusive of GST)

Important note: Any existing Investor Advice Fee – Upfront arrangements will be replaced if you provide new Investor Advice Fee – Upfront instructions in this form.

(2) Investor Advice Fee – Ongoing

The available fee options are:

(a) Percentage based fee options – flat percentage **or** tiered percentage

Flat percentage fee

Up to a maximum of 2.2% per annum (inclusive of GST) of your account balance. % per annum (inclusive of GST)

OR

Tiered percentage fee (Pursuit Select and Pursuit Focus only)

- Up to a maximum of 2.2% pa (inclusive of GST) can be applied to the amount for each tier.
- Each subsequent tier percentage must be less than the previous tier percentage.
- The default fee will be 0% if no nomination is made.
- Up to a maximum of 5 tiers.

		Amount	% pa (inclusive of GST)
Tier 1	Nil to	\$ <input type="text"/>	<input type="text"/>
Tier 2	Next	\$ <input type="text"/>	<input type="text"/>
Tier 3	Next	\$ <input type="text"/>	<input type="text"/>
Tier 4	Next	\$ <input type="text"/>	<input type="text"/>
Tier 5	Next	\$ <input type="text"/>	<input type="text"/>

AND/OR

(b) Flat dollar fee

Up to a maximum of \$1,500 per month (inclusive of GST) \$ per month (inclusive of GST)

Automatic indexation of flat dollar fee

Would you like your flat dollar Investor Advice Fee – Ongoing to be automatically indexed each year?

No Yes (complete steps below)

Please specify how you would like your Investor Advice Fee – Ongoing to be automatically indexed each year.

CPI Fixed % (up to a maximum of 10% per annum)

Please specify the date for the first indexation to occur (indexation will occur annually on this date thereafter).

Indexation commencement date / /

If no instruction is received, the default will be 'No' and automatic indexation will not be applied to the flat dollar Investor Advice Fee – Ongoing.

If indexation is selected but no indexation commencement date is provided, the indexation commencement date will be 12 months from the account inception date.

Important note: Any existing Investor Advice Fee – Ongoing arrangements will be replaced if you provide new Investor Advice Fee – Ongoing instructions in this form.

(3) Investor Advice Fee – One-Off

A maximum of 10% of the account balance up to a fee of \$11,000 (inclusive of GST), OR a maximum fee of \$3,300 (inclusive of GST) where the fee is greater than 10% of the account balance \$ per request (inclusive of GST)

Important note: Any existing Investor Advice Fee – Upfront, Investor Advice Fee – Ongoing, Investor Advice Fee – Transaction, Investor Advice Fee – Licensee arrangements will not be affected if you provide an Investor Advice Fee – One-Off instruction in this form.

(4) Investor Advice Fee – Transaction (Pursuit Select only)

Up to a maximum of \$110 per order (inclusive of GST).

Listed Investment Buy \$ per order (inclusive of GST)

Listed Investment Sell \$ per order (inclusive of GST)

Important note: Any existing Investor Advice Fee – Transaction arrangements will be replaced if you provide new Investor Advice Fee – Transaction instructions in this form.

(5) Investor Advice Fee – Licensee

The available fee options are:

(a) Flat percentage fee

Up to a maximum of 1.1% per annum (inclusive of GST) of your account balance. % per annum (inclusive of GST)

OR

(b) Tiered percentage fee (Pursuit Select and Pursuit Focus only)

- Up to a maximum of 1.1% pa (inclusive of GST) can be applied to the amount for each tier.
- Each subsequent tier percentage must be less than the previous tier percentage.
- The default fee will be 0% if no nomination is made.
- Up to a maximum of 5 tiers.

		Amount	% pa (inclusive of GST)
Tier 1	Nil to	\$ <input type="text"/>	<input type="text"/>
Tier 2	Next	\$ <input type="text"/>	<input type="text"/>
Tier 3	Next	\$ <input type="text"/>	<input type="text"/>
Tier 4	Next	\$ <input type="text"/>	<input type="text"/>
Tier 5	Next	\$ <input type="text"/>	<input type="text"/>

OR

(c) Flat dollar fee

Up to a maximum of \$750 per month (inclusive of GST) \$ per month (inclusive of GST)

Automatic indexation of flat dollar fee

Would you like your flat dollar Investor Advice Fee – Licensee to be automatically indexed each year?

No Yes (complete steps below)

Please specify how you would like your Investor Advice Fee – Licensee to be automatically indexed each year.

CPI Fixed % (up to a maximum of 10% per annum)

Please specify the date for the first indexation to occur (indexation will occur annually on this date thereafter).

Indexation commencement date / /

If no instruction is received, the default will be ‘No’ and automatic indexation will not be applied to the flat dollar Investor Advice Fee – Licensee.

If indexation is selected but no indexation commencement date is provided, the indexation commencement date will be 12 months from the account inception date.

Important note: Any existing Investor Advice Fee – Licensee arrangements will be replaced if you provide new Investor Advice Fee – Licensee instructions in this form.

